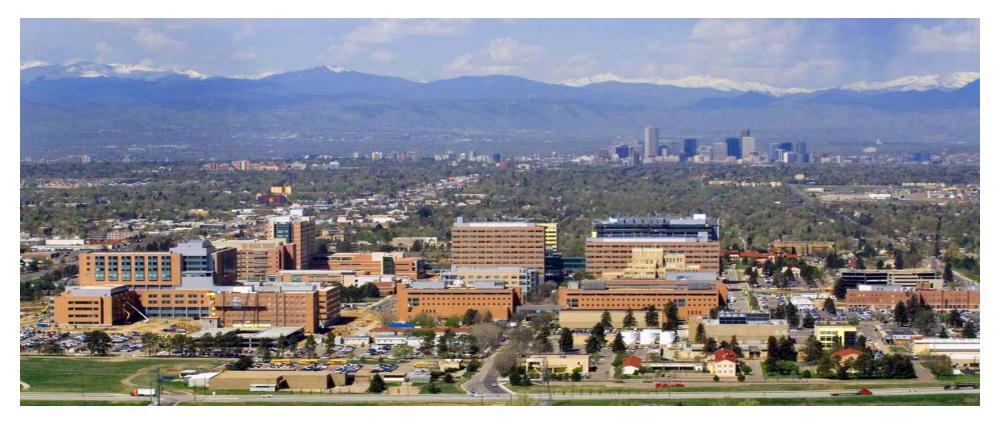
The Role of Immunotherapy in Gastrointestinal Cancer

S. Gail Eckhardt, M.D.
University of Colorado Cancer Center
November 2016



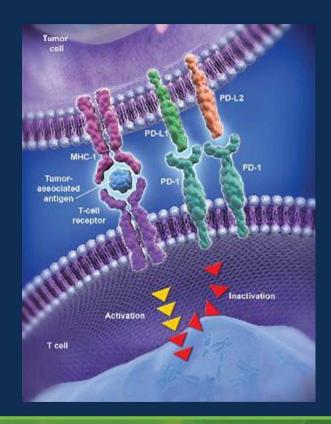
Conflicts Genentech/Roche Research Funding

Esophageal and Gastric Cancer

PD-1 and Pembrolizumab

- Pembrolizumab is an anti–PD-1 antibody that helps to restore antitumor immune surveillance
- Approved in several countries for the treatment of advanced melanoma, and, in the US, for metastatic, PD-L1-positive NSCLC¹
- Rationale for immunotherapy in esophageal cancer
 - PD-L1 frequently overexpressed in esophageal cancer^{2,3}
 and may be associated with poor prognosis^{3,4}

1. KEYTRUDA® (pembrolizumab) for injection, for intravenous use. Whitehouse Station,NJ: Merck & Co., Inc, 2014-2015; 2. The Cancer Genome Atlas Research Network. *Nature*. 2014;513:202-09. 3. Chen L et al. *Int J Clin Exp Pathol*. 2014;7:6015-23. 4. Ohigashi Y et al. *Clin Cancer Res*. 2005;11:2947-53.

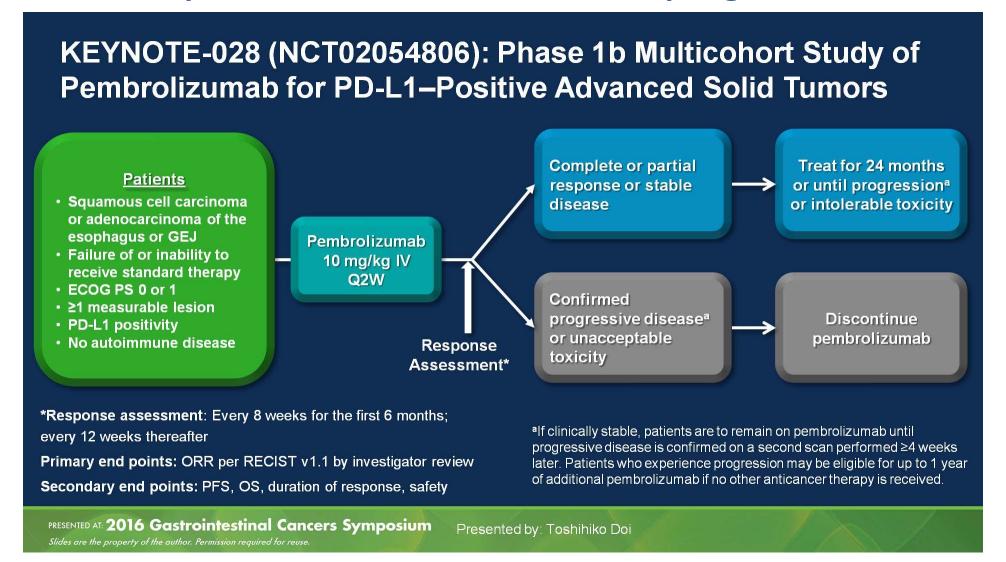


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Cohort Expansion SCC or AdenoCa of Esophagus/GEJ: PD-L1 +



Positive PD-L1 Expression= > 1% T/I cells or positive bands in stroma

Expected AEs

Adverse Events

Treatment-Related AEs	N = 23 n (%)
Any-grade Grade 3	9 (39) 4 (17)
Decreased appetite Grade 1-2 Grade 3	2 (9) 1 (4)
Decreased lymphocytes ^a Grade 3	2 (9)
Rash Grade 1-2	2 (9)
Liver disorder, grade 3ª	1 (4)
Pruritic rash, grade 3	1 (4)

AEs of Special Interest	N = 23 n (%)
Any	4 (17)
Hypothyroidism (both grade 2)	2 (9)
Adrenal insufficiency (grade 2)	1 (4)
Pruritic rash (grade 3)	1 (4)

- Median follow-up duration: 7.1 months (range, 1.3-19.4)
- No treatment-related deaths or discontinuations

^aOccurred in the same patient.

Data cutoff date: November 4, 2015

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ORR: 29% SCC and 40% for AdenoCA

Antitumor Activity (RECIST v1.1, Investigator Review)

	N = 23		
Best Overall Response	n	%	95% CI
ORR	7	30	13-53
Complete response	0	0	0-15
Partial response	7	30	13-53
Stable disease	2	9	1-28
Progressive disease	13	56	34-77

- ORR by histology
 - 29% for squamous cell carcinoma (5 of 17)
 - 40% for adenocarcinoma (2 of 5)

Only confirmed responses are included. Data cutoff date: November 4, 2015.

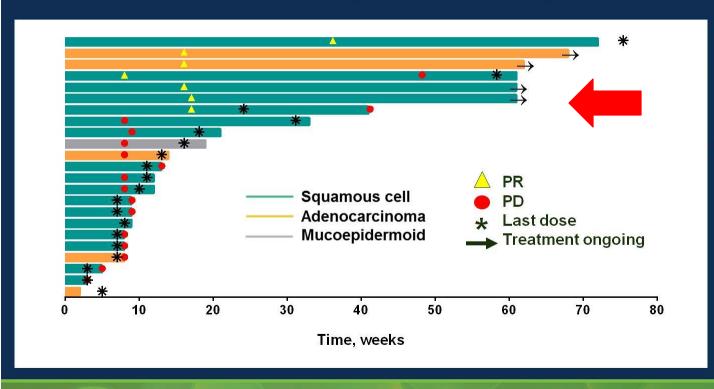
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Note that longer duration on treatment largely corresponds to OR

Treatment Exposure and Response Duration (RECIST v1.1, Investigator Review)



Time to response

•Median: 3.7 months

•Range: 1.8-8.3 months

Duration of response

·Median: not reached

•Range: 5.5-11.8+ months

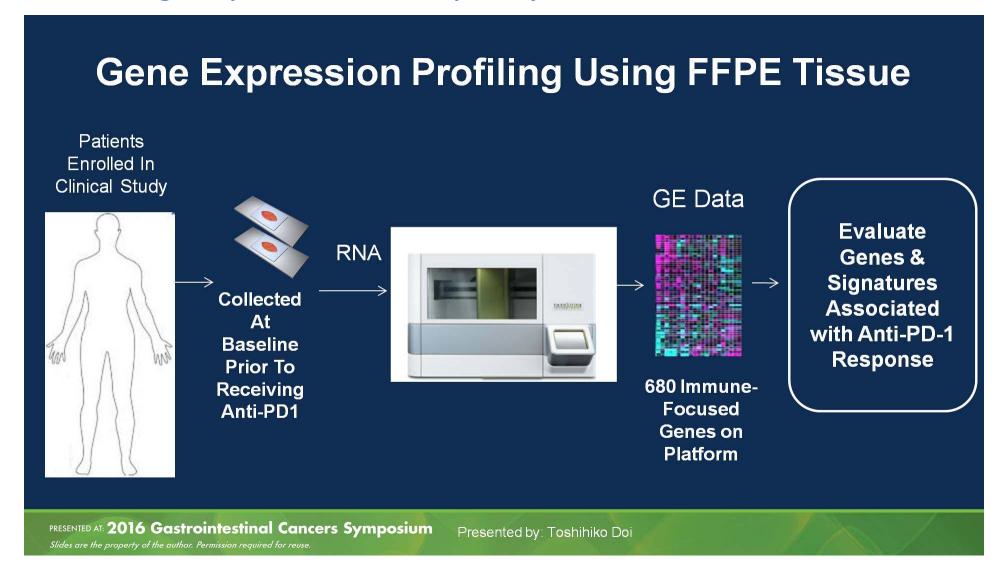
Data cutoff date: November 4, 2015

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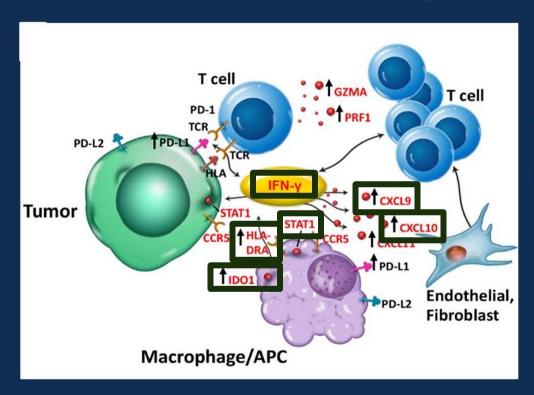
Interesting but question of whether primary versus metastatic tissue best for this?



Presented By Toshihiko Doi at ASCO GI 2016

Correlation between signature and PFS hypothesis generating (N=23)

Interferon-Inflammatory Gene Expression Signature



The 6-gene signature captures part of a complex signaling pathway related to pre-existing IFN-γ adaptive immune response within the tumor microenvironment

- ID01
- · CXCL10
- CXCL9
- HLA-DRA
- · STAT1
- IFN-y

Adapted from J Clin Oncol 33, 2015 (suppl; abstr 3001)

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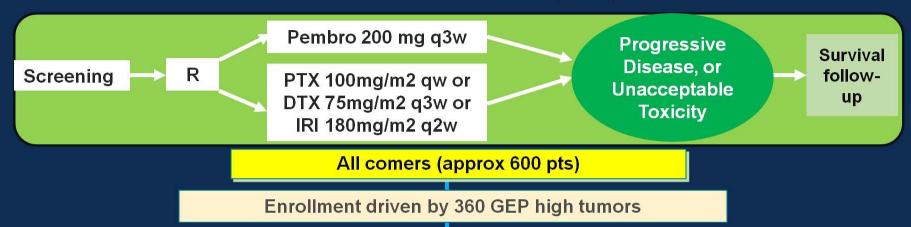
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- The gene signature was derived from melanoma test set
- Despite some potential weaknesses in approach we need more of these studies to justify toxicities and expense of IT

Further Validation of GEP 2nd line: Primary versus Metastatic?

KN181: Pembrolizumab Monotherapy vs Physicians' Choice of Docetaxel, Paclitaxel or Irinotecan in 2L Esophageal Cancer



Built-in biomarker response prediction hypothesis for GEP; retrospective PD-L1 assessment

- Co-primary endpoint: OS and PFS / Secondary endpoints: ORR and DOR
- · Scans will be performed every 9 weeks
- Sites: Approx. 600 patients total, 150 sites in 32 countries
 - In the US, 16 sites are selected with 74 patients allocated

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Target= 100 patients, 3rd line

KN180: Pembrolizumab Monotherapy in 3L Esophageal Cancer



- Confirmed RECIST 1.1 measurable disease by central imaging vendor prior to treatment allocation
- Imaging every 9 weeks
- Retrospective biomarker response prediction assessment for GEP and PD-L1



- Sites:
 - Approx 100 patients, 9 countries, 60 sites total
 - In the US, currently 24 sites are selected with 85 patients allocated

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Questions: 1) Site or timing of tissue acquisition important?
2) Will PD-L1 or GEP "trump" the other or will they be additive in refining patient selection?

Keynote-012 Gastric Cancer/GEJ PD-L1+ ORR 22-33%

Best Overall Response, RECIST v1.1

	Central Review N = 36 ^a	Investigator Review N = 39
ORR, ^b % (95% CI)	22.2 (10.1, 39.2)	33.3 (19.1, 50.2)
Best overall response, n (%)		
Complete response ^b	0	0
Partial response ^b	8 (22.2)	13 (33.3)
Stable disease	5 (13.9)	5 (12.8)
Progressive disease	19 (52.8)	21 (53.8)
No assessment ^c	1 (2.8)	:
Not determined ^d	3 (8.3)	%.

[®]Patients with measurable disease per RECIST v1.1 by central review at baseline. [®]All responses were confirmed. [©]Patient with centrally evaluable disease at baseline with centrally evaluable disease at baseline for whom best overall response could not be determined.

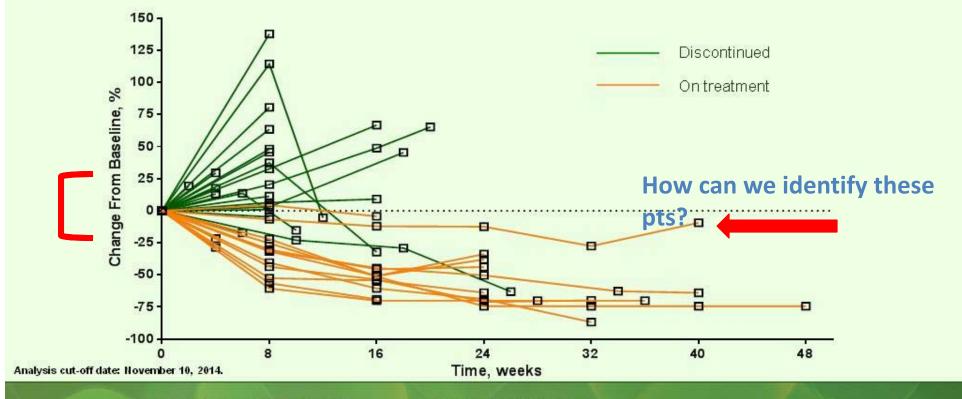
Analysis cut-off date: November 10, 2014.

Presented at the Gastrointestinal Cancers Symposium

Presented by: Kei Muro

Most patients with prolonged treatment duration exhibited OR, but...

Change From Baseline in Tumor Size (RECIST v1.1, Central Review)



Presented at the Gastrointestinal Cancers Symposium

Presented by: Kei Muro

Gastric Cancer/GEJ Nivolumab

Study Design

Gastric Cancer^a (N = 163)

Nivolumab 3 mg/kg IV Q2W (n = 59)

Primary Endpoint^c

· Objective response rate

Secondary Endpoints

- Adverse events (AEs)
- Overall survival (OS), OS rate
- Progression-free survival (PFS), PFS rate
- Duration of response

Nivolumab 1 mg/kg + Ipilimumab 1 mg/kg IV Q3W for 4 cycles^b (n = 3)

Exploratory Endpoints

- Pharmacokinetics
- Pharmacodynamics
- Immunogenicity
- Biomarkers

Nivolumab 1 mg/kg + Ipilimumab 3 mg/kg IV Q3W for 4 cycles^b (n = 49)

Inclusion Criteriad

- ≥18 years of age
- Histologically confirmed tumor of the lower esophagus, gastroesophageal junction, or stomach
- Radiologically confirmed measurable disease

- Progressive or chemorefractory disease
- Received ≥1 prior therapy

Nivolumab 3 mg/kg +

Ipilimumab 1 mg/kg IV

Q3W for 4 cycles^b

(n = 52)

- ECOG performance status of 0 or 1
- No autoimmune disease
- No prior vaccine or immune checkpoint inhibitor therapy

- ^a Cohorts were enrolled sequentially in the order shown.
- ^b Followed by single agent nivolumab 3 mg/kg IV Q2W.
- E Follow-up continued until disease progression and/or resolution of AEs, after which they were followed every 3 months for survival. Follow up time ranged from 5-24 months.
- d PD-L1 positivity was not mandated for inclusion.

ECOG, Eastern Cooperative Oncology Group; IV, intravenous; Q2W, every 2 weeks; Q3W, every 3 weeks.

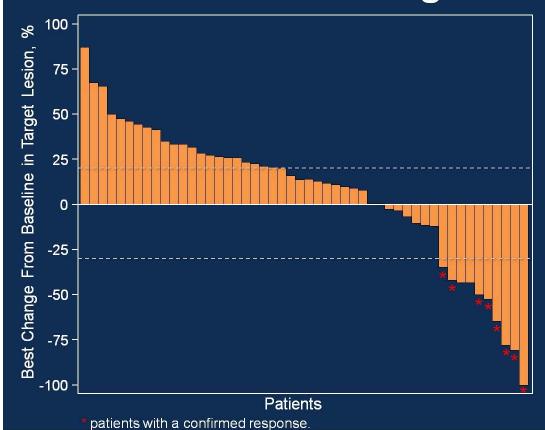
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Patients were required to have received ≥ 1 prior regimen; most patients received ≥2 regimens

ORR=14%





- 8/59 patients had objective responses
- Median time to response was
 1.6 months (range: 1.2–4.0)
- Median duration of response was
 7.1 months (95% CI, 0.0+ to 13.2)

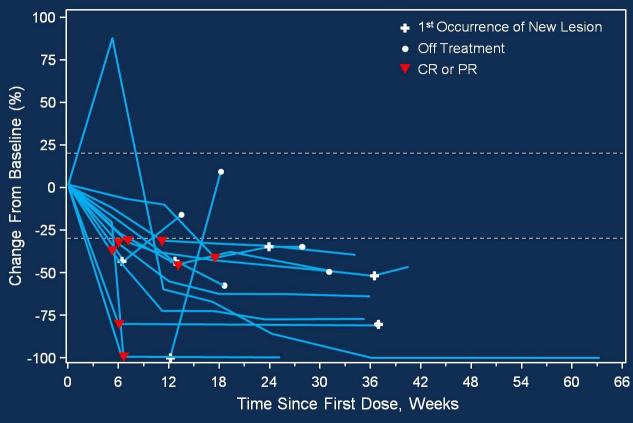
+ indicates censored observations included.

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Most responses occurred in 1st 12 weeks after initiation of therapy

Change From Baseline in Target Lesions Over Time



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CR, complete response; PR, partial response.

Other Gastric/GEJ Studies

- Checkmate-032: Phase I/II Nivo + Ipi (unselected): Nivo 1 mg/kg and Ipi 3mg/kg (45 pts) ORR=12%; OS=6.9 months; 12 month OS=34%. Expected AEs, Phase III planned
- Nivolumab 2nd line N=64 (Kojima et al/ASCO 2016): Second-line phase II study: **ORR 17.2**%; mOS=10.78 mos; mPFS= 1.51 months.

Other Enrolling Gastric/GEJ Studies

- **Keynote-180**: Phase II 3rd line pembro monotherapy (unselected): target=100 pts
- **Keynote-062:** Phase III **1**st line pembro alone versus 5FU/Cis versus pembro + 5FU/Cis (**PD-L1+**): target=750 1:1:1 (Keynote-059 demonstrated safety of combo)
- **Keynote-061**: Phase III **2**nd line pembro versus paclitaxel (unselected): target=720 pts
- **Keynote-181**: Phase III **2**nd line pembro versus clinician's choice (unselected): target=600 pts
- Javelin Gastric 300 trial: Phase III 3rd line avelumab + BSC versus BSC + chemo (unselected): target=330 pts

Upper GI Cancers and IT Comments

- Clearly IT is active in upper GI cancers, but more evidence is needed to establish benefit beyond OR
- Ongoing trials will establish activity in various lines of therapy
 - One could question whether in patients with initial benefit, IT should be incorporated into all lines of therapy?
- In my view, most important question will be the role of PD-L1 positivity in patient selection in conjunction with other biomarkers such as GEP
- Perhaps more mechanism-based combinations are needed to achieve better long-term disease control

Pancreatic Cancer

Pancreatic Studies

- Randomized phase 2 of BTK inhibitor acalabrutinib
 alone or with pembro: Reasonably well tolerated; ORR
 7% in combo arm and some hint of efficacy in patients
 with familial panc or breast cancer.
- Ongoing: Combination trial of nivo with gem/abraxane

Bottom line: Immunotherapy results in this disease continue to be disappointing and indicate we need better methods of patient selection and/or better mechanismbased combination strategies

HCC

Nivolumab in HCC

Draft

4012

Phase 1/2 Safety and Antitumor Activity of Nivolumab in Patients with Advanced Hepatocellular Carcinoma: Interim Analysis of the CheckMate-040 Dose Escalation Study

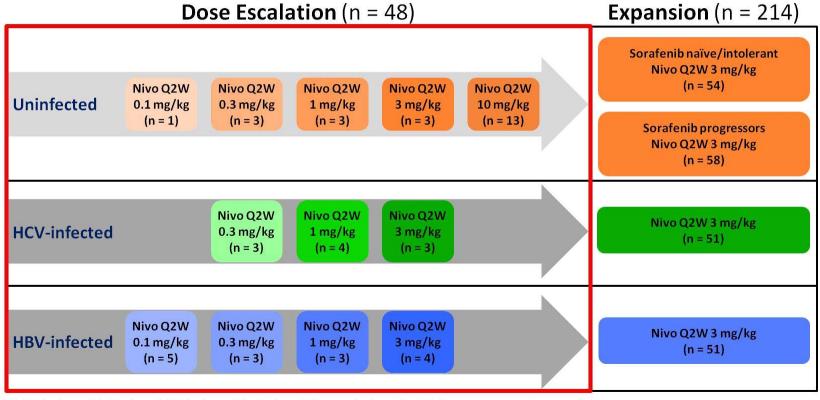
Anthony B. El-Khoueiry,¹ Bruno Sangro,² Thomas Yau,³ Todd S. Crocenzi,⁴ Theodore Hobart Welling III,⁵ Winnie Yeo,⁶ Akhilopra,⁷ Jeffrey Anderson,⁸ Christine dela Cruz,⁸ Lixin Lang,⁸ Jaclyn Nelly,⁸ Ignacio Melero²

¹University of Southern California Norris Comprehensive Cancer Center, Los Angeles, CA, USA; ²Clinica Universidad de Navarra and CIBERehd, Pamplona, Spain; ³University of Hong Kong, Hong Kong, China; ⁴Providence Cancer Center; Portland, OR, USA; ⁵University of Michigan, Ann Arbor, MI, USA; ⁶Chinese University of Hong Kong, Hong Kong; ⁷Johns Hopkins Singapore International Medical Centre, Singapore; ⁸Bristol-Myers Squibb, Princeton, NJ, USA

Interesting design to address several patient subsets

Study Design

Draft

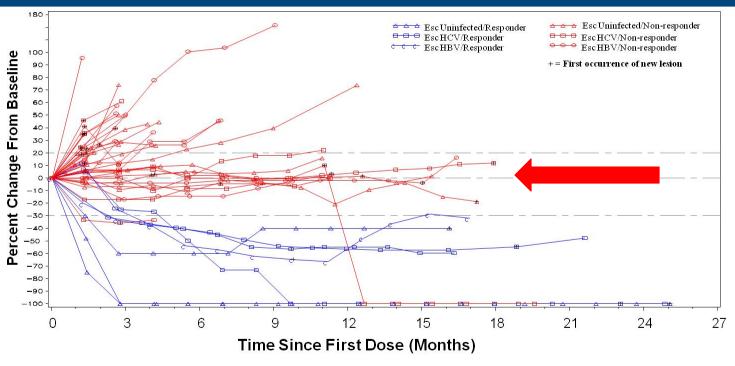


HBV = hepatitis B virus; HCV = hepatitis C virus; Nivo = nivolumab; Q2W = once every two weeks

Intriguing results in a disease where current therapy is insufficient and not well tolerated

Figure will be redrawn with color coding consistent with previous slide (uninfected = orange; HBV = blue; HCV = green)

Individual Tumor Burden Percent Change from Baseline



Draft

- Responses occurred early in treatment, stable responses occurred out to 12–18 months, and in 1 patient with CR, response extended out to beyond 24 months
- In contrast to UGI, a subset of HCC patients with best response=SD appeared to have prolonged disease control.
- How can we identify these patients prior to IT and induce regression?

Colorectal Cancer Anal Cancer

Study Design

Colorectal Cancers

Non-Colorectal Cancers

Cohort A

Deficient in

Mismatch Repair

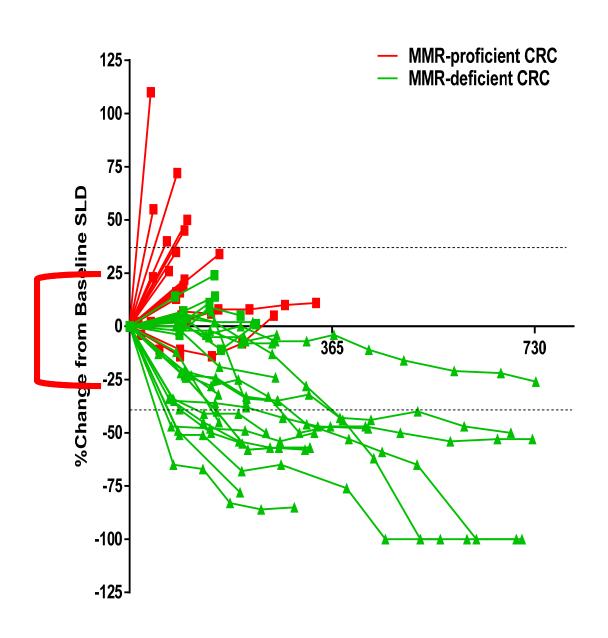
(n=28)

Cohort B
Proficient in
Mismatch Repair
(n=25)

Cohort C
Deficient in
Mismatch Repair
(n=30)

- Anti-PD1 (Pembrolizumab) 10 mg/kg every 2 weeks
- Here we report and update from the original 13 CRC Cohort A patients reported at ASCO 2015

Radiographic Response



	MMR-deficient CRC	MMR-proficient CRC
Type of Response-no (%)	n=28	n=25
Complete Response	3 (11)	0 (0)
Partial Response	13 (46)	0 (0)
Stable Disease (Week 12)	9 (32)	4 (16)
Progressive Disease	1 (4)	11 (44)
Not Evaluable ¹	2 (7)	10 (40)
Objective Response Rate (%)	16 (57)	0 (0)
95% CI	39 - 73	0 -13
Disease Control Rate (%)	25 (89)	4 (16)
95% CI	73 - 96	6 - 35
Median Follow Up (mos)	9.3	6

¹Patients were considered not evaluable if they did not undergo a 12 week scan

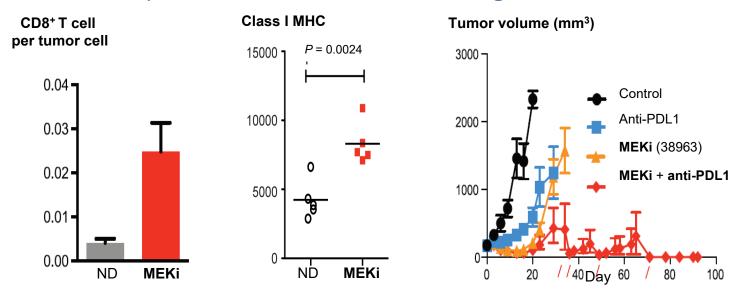
Clinical activity and safety of cobimetinib and atezolizumab (anti-PD-L1) in colorectal cancer

Johanna Bendell,¹ Tae Won Kim,² Boon Cher Goh,³ Jeffrey Wallin,⁴ Do-Youn Oh,⁵ Sae-Won Han,⁵ Carrie Lee,⁶ Matthew D. Hellmann,⁷ Jayesh Desai,⁸ Jeremy Lewin,⁹ Benjamin J. Solomon,¹⁰ Laura Q. Chow,¹¹ Wilson H. Miller Jr,¹² Justin Gainor,¹³ Keith Flaherty,¹³ Jeffrey Infante,¹ Meghna Das Thakur,⁴ Paul Foster,⁴ Edward Cha,⁴ Yung-Jue Bang⁵

¹Sarah Cannon Research Institute/Tennessee Oncology, Nashville, TN; ²Asan Medical Center, Seoul, South Korea; ³Cancer Science Institute of Singapore, National University of Singapore, Singapore; ⁴Genentech, Inc., South San Francisco, CA; ⁵Seoul National University Hospital, Seoul, South Korea; ⁶UNC Lineberger Comprehensive Cancer Center, University of North Carolina – Chapel Hill, North Carolina; ⁷Memorial Sloan Kettering Cancer Center, New York, NY; ⁸Royal Melbourne Hospital, University of Melbourne, Melbourne, VIC, Australia; ⁹Princess Margaret Cancer Center, University Health Network, Toronto, ON, Canada; ¹⁰Peter MacCallum Cancer Center, Melbourne, VIC, Australia; ¹¹University of Washington, Seattle, WA; ¹²Segal Cancer Center and Jewish General Hospital, McGill University, Montreal, QC, Canada; ¹³Massachusetts General Hospital, Boston, MA

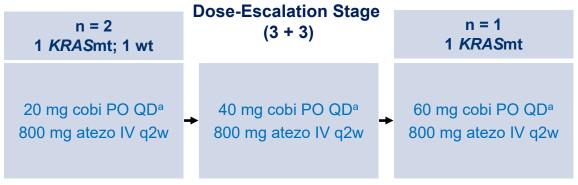
PD-L1 and MEK Inhibition: A Rational Combination

MEK inhibition alone can result in **intratumoral T-cell accumulation** and **MHC I upregulation**, and synergizes with an anti-PDL1 agent to promote **durable tumor regression**¹



• To examine the possible benefits of MEK inhibition with an anti-PDL1 agent, we evaluated cobimetinib + atezolizumab in patients with advanced solid tumors

Phase Ib Dose Escalation and Cohort Expansion **Study (NCT01988896)**



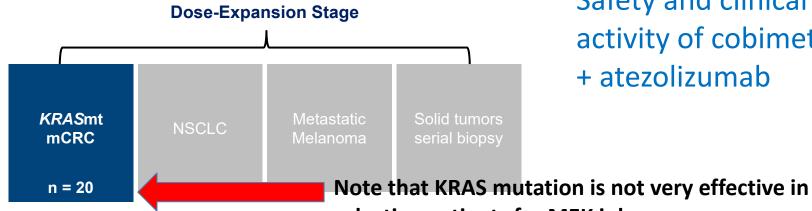
Key eligibility Criteria

- ECOG PS of 0 or 1
- Measurable disease per RECIST v1.1

DLT window of 28 days until MTD for combination is defined

Primary Objectives

Safety and clinical activity of cobimetinib + atezolizumab



selecting patients for MEK inh ^aCobimetinib was administered on 21 days on/7 days off dosing schedule.

atezo, atezolizumab; cobi, cobimetinib; DLT, drug limited toxicity; ECOG PS, Eastern Cooperative Oncology Group performance status; KRASmt, KRAS mutant; MTD, maximum tolerated dose; NSCLC, non-small cell lung cancer; RECIST, Response Evaluation Criteria In Solid Tumors.

Baseline Characteristics: Heavily pretreated

Patients with CRC	(N = 23)
Median age, y	57
Range	31–69
Sex	
Male	48%
Female	52%
ECOG PS	
0	61%
1	39%
Ethnicity (Race)	
Asian	39%
White	61%

Patients with CRC	(N = 23)	
Region		
Asia	35%	
North America, Australia	65%	
No. of prior systemic therapies		
Median	3	
Range	1-5	
Prior oxaliplatin and irinotecan	23 (100%)	
Prior adjuvant therapy	12 (52%)	
Stage of initial diagnosis		
Stage I-II	13%	
Stage III	48%	
Stage IV	30%	

Baseline Characteristics: Low PD-L1 Expression

Patients with CRC	(N = 23)
Cancer type at diagnosis	
Colon	83%
Rectal	17%
Location of primary tumor	
Left (splenic flexure to rectum)	74%
Right (cecum to hepatic flexure)	26%
Transverse	0%
Metastatic pattern at study 6	entry
Liver only	0%
Liver metastases and other sites	48%
ded RASXTIAF hepation	sequen 5 2.%

Patients with CRC	(N = 23)	
KRAS		
Wild type ^a	4%	
Mutant	96%	
PD-L1 expression		
IC2/3	17%	
IC0/1	70%	
Unknown	13%	
MSI status, investigator-reported		
MSI-high	0%	
MSI-low or stable	30%	
Unknown	70%	

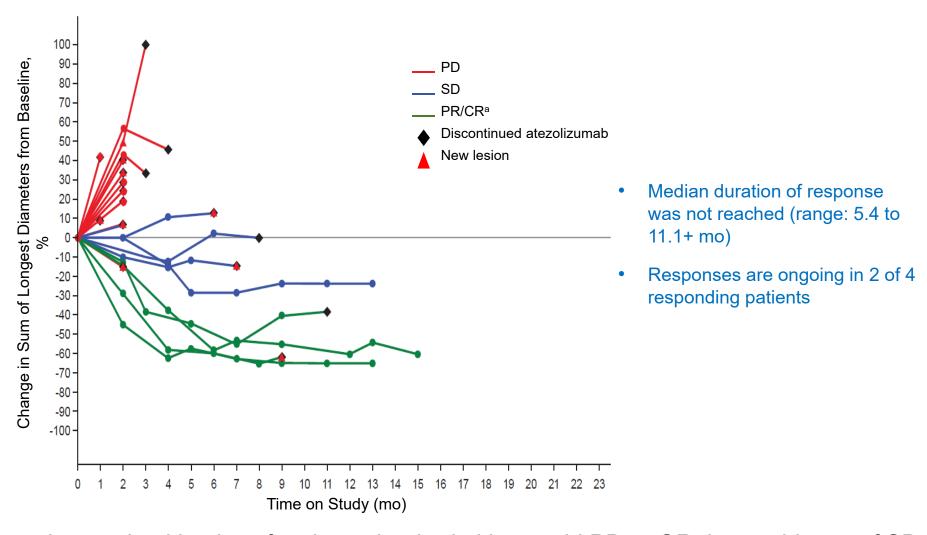
Efficacy: Confirmed Objective Response

Confirmed Response per RECIST v1.1	KRAS mutant CRC Cohort (n = 20)	All CRC Patients (N = 23)
ORR (95% CI)	20% (5.7, 43.7)	17% (5.0, 38.8)
PR	20%	17%
SD	20%	22%
PD	50%	52%
NE	10%	9%

Response did not correlate with PD-L1 status: IC0 (n = 2), IC1 (n = 1) and IC3 (n = 1)

This RR is clearly much better than that of current 3rd line therapy

Efficacy: Change in Tumor Burden



- Interesting kinetics of patients that had either rapid PD or OR, less evidence of SD
- Has been advanced to Phase III: Atezo + Cobi vs. Atezo vs. Regorafenib

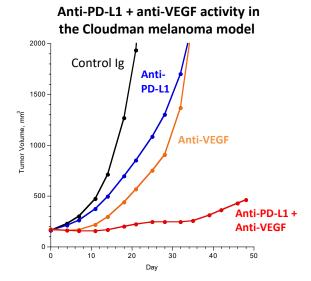
Meanwhile, back in 2012: Rationale to Combine With Bevacizumab and FOLFOX

Anti-VEGF has immunomodulatory properties:

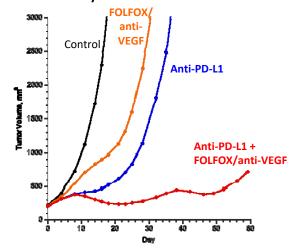
- Increases trafficking of T cells into tumors¹⁻²
- Reduces frequency of myeloid-derived suppressor cells (MDSCs)³
- Reduces suppressive cytokines and infiltrating Tregs and MDSCs⁴
- Increases both CD8+ and CD4+ central memory T cells in combination with ipilimumab⁵

FOLFOX may have immunogenic effects:

- 5-FU reduces tumor-associated MDSCs and increases
 INFγ-producing CD8 tumor-infiltrating lymphocytes⁶
- Oxaliplatin induces immunogenic cell death (calreticulin exposure, release of ATP and HMGB1)^{7,8}
- FOLFOX reduces percentage and numbers of Tregs in CRC patients^{9,10}



Anti-PD-L1 + FOLFOX/anti-VEGF activity in the MC38 CRC model

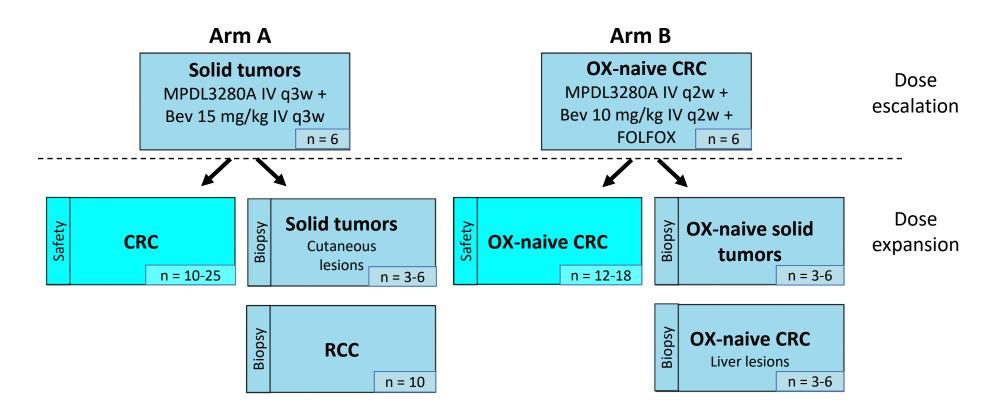


^{1.} Manning. Clin Cancer Res. 2007. 2. Shrimrali. Cancer Res. 2010. 3. Kutsmartsev. J Immunol. 2008.

^{4.} Roland. PLOS One. 2009. 5. Hodi. J Clin Oncol. Suppl. 2011. 6. Vincent. Cancer Res. 2010.

^{7.} Michaud. *Science*. 2011. 8. Tesniere. *Oncogene*. 2010. 9. Maeda. *Anticancer Res.* 2011. 10. Correale. *J Clin Oncol*. 2005.

World's Largest Phase Ib Study: 4+ years



- Primary objectives: safety and tolerability, DLT and MTD
- Secondary objectives: ORR, DOR, PFS, PK

Summary of Responses

MPDL3280A + Bevacizumab

Indication	n	ORR
1L RCC	10	40%
CRC	13	8%

Minimum follow-up in Arm A: 2.1 months for 1L RCC and 1.9 months for CRC

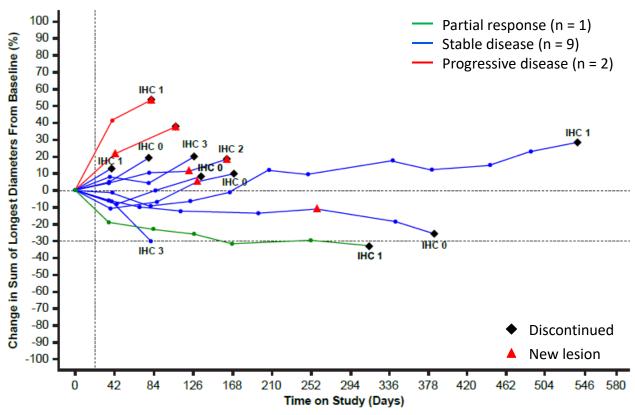
MPDL3280A + Bevacizumab + FOLFOX

Indication	n	ORR
CRC	25	36%
1L CRC	18	44%

Minimum follow-up in Arm B: 2.2 months for CRC

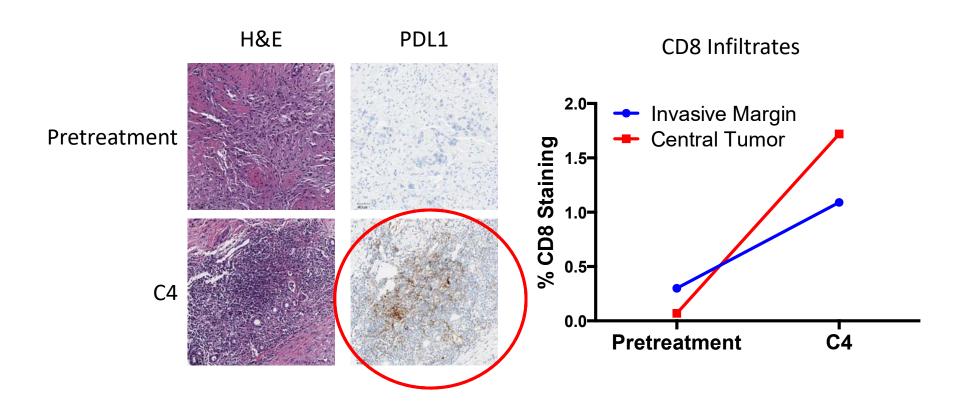
- Responses in other cohorts
 - Arm A: melanoma (1/4 PR), breast cancer (1/1 PR)
 - Arm B: RCC (1/1 CR), breast cancer (1/2 PR)

MPDL3280A + Bevacizumab: Tumor Burden Over Time in CRC Clearly not the regression observed in other diseases



- SD ≥ 24 weeks in 2 patients
- Median duration of follow-up: 5.6 months

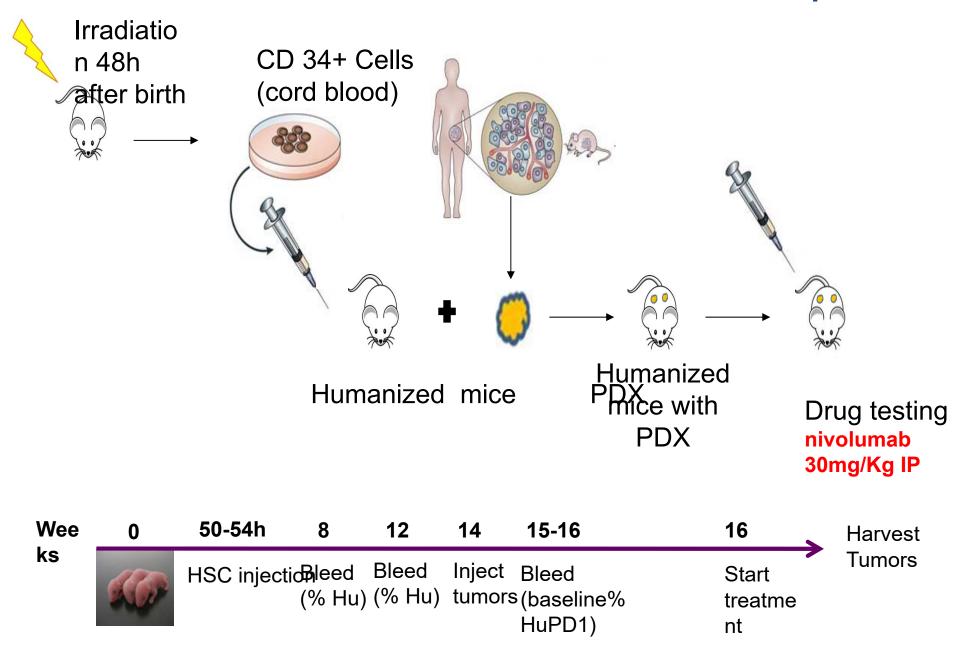
Increase in Tumor PD-L1 and CD8+ Infiltrates After MPDL3280A + Bevacizumab + FOLFOX in a CRC Patient



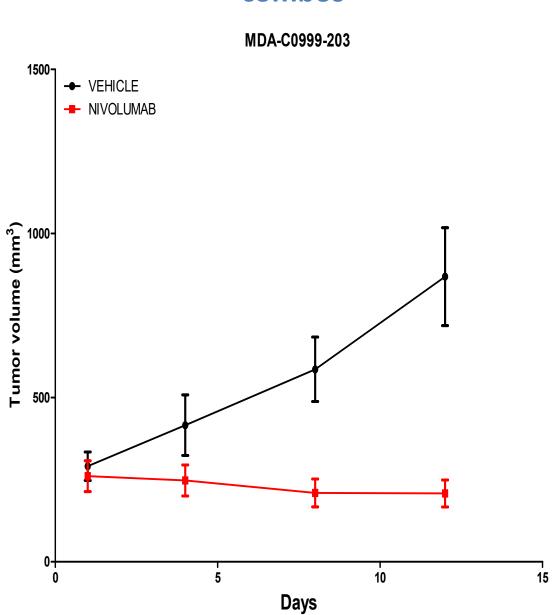
CRC and IT: Comments

- The data in MSI-h CRC has been most compelling and associated with durable responses, although some patients with SD have experienced prolonged disease control
- Strategies for MSS patients may require more work around mechanism-based combos
- It does not appear that we have adequate patient selection tools for IT in this disease (beyond MSI-h)
- We need to be cautious in relying upon OR as the only read-out for clinical benefit and improve our ability to identify patients that may derive benefit with BR=SD

Methods: Humanized PDX Development

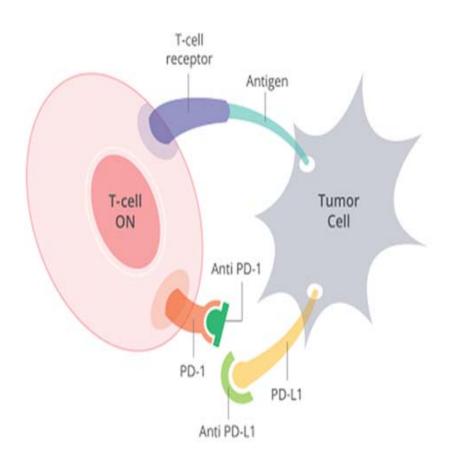


Established POC in MSI-h tumor, now poised to develop rational combos



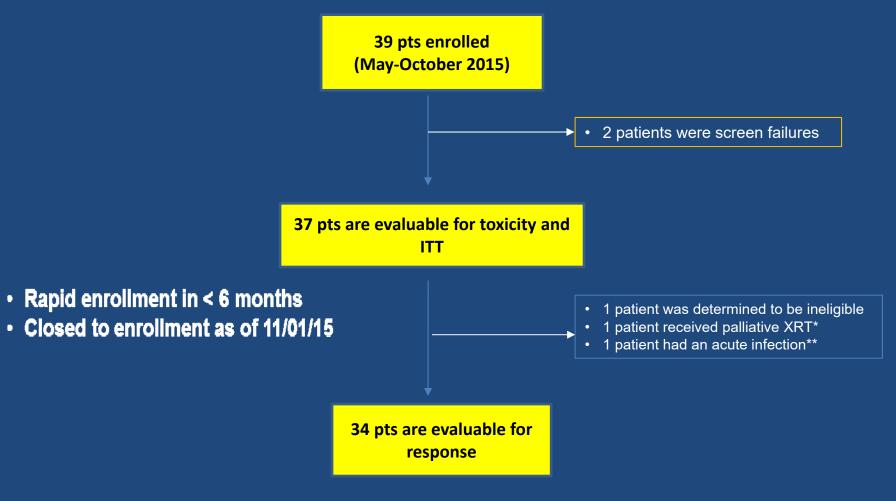
Rationale for Nivolumab in Metastatic SCCA:

- Approximately 80-95% of cases are linked to human papillomavirus (HPV).
- The role of HPV in the tumorigenesis of SCCA provides rationale for the use of immune checkpoint blockade agents as a novel therapy for treatment of patients with a virally driven disease.



Morris VK et al. The Oncologist, 2015, Sarup-Hansen E et al. J Clin Oncol ,2014

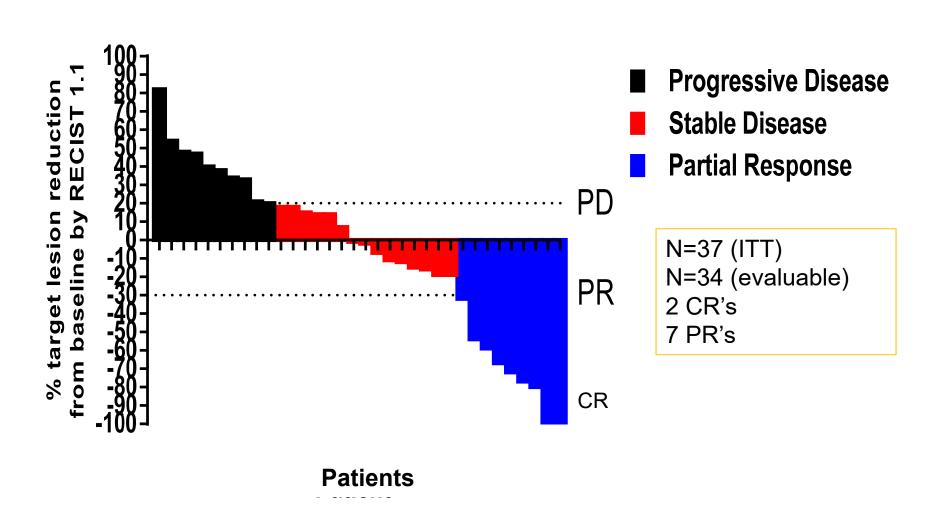
NCI9673: Consort Diagram



*both within < 1 week of enrollment, **not treatment-related

Presented by: Cathy Eng, MD

NCI9673: Primary Endpoint of Response Rate



Patient #1:

Baseline: 6/7/2015





On Treatment Cycle #22 5/18/16



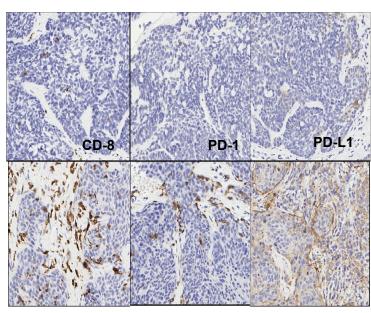


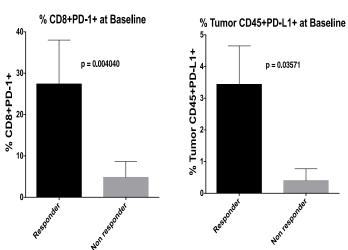
Tumor Correlatives at Baseline by IHC (N=12)

Non-Responder

Responder

Flow Cytometry Confirmation (Courtesy of MDACC IMT Platform)





Conclusions: IT for GI Cancer

- There is no doubt that IT is changing the landscape of therapeutic options for GI cancer, but there is a lot to learn:
 - Patient selection
 - Rational combinations
 - More robust preclinical models to develop RC
 - Relevant clinical endpoints
 - More work around recalcitrant tumors such as pancreatic cancer
 - Need to avoid overlapping/competing studies that are not designed to provide information whether positive or not (in other words we need to *understand* why trials are + or -)



Thank You!